

LAPT

LONDON ACADEMY OF PROFESSIONAL TRAINING

CERTIFICATE

ISO 37001 — Anti-Bribery Management Systems

ISO Certification Programme



LAPT — London Academy of Professional Training

Address 85 Great Portland Street, W1W 7LT, London, United Kingdom

Email info@lapt.org

Telephone +44 7513 283044

Web lapt.org

Reference MGT-MGS-37001 • ISO Management & Services

UKPRN 10067351 • Registered in England and Wales, company 4984798

AT A GLANCE

REFERENCE	MGT-MGS-37001
AWARD	Certificate
ASSESSMENT	430 marks — 258 to pass (60%)
PREREQUISITES	None
VALIDITY	Lifetime
AWARDING BODY	LAPT — London Academy of Professional Training

CURRICULUM

1

Continuous Improvement in Anti-Bribery Practices

5 chapters · 30 classes 65 marks

• 1 Understanding Bribery and Corruption in the Global Context — 6 classes

› 1.1 Define Key Concepts of Bribery and Corruption

› 1.2 Analyze the Global Impact of Bribery on Business

› 1.3 Identify Legal Frameworks Addressing Bribery Worldwide

› 1.4 Explore Case Studies of Bribery Incidents in Different Countries

› 1.5 Evaluate the Role of Leadership in Anti-Bribery Practices

› 1.6 Develop Strategies for Implementing Anti-Bribery Measures

• 2 ISO 37001 Standards: Framework and Requirements for Anti-Bribery Management Systems — 6 classes

› 2.1 Identify Key Components of ISO 37001 Anti-Bribery Framework

› 2.2 Explore Requirements for Leadership Commitment to Anti-Bribery

› 2.3 Assess Risk Assessment Procedures Within Anti-Bribery Systems

› 2.4 Develop Effective Policies and Procedures for Anti-Bribery

› 2.5 Implement Training Strategies for Anti-Bribery Compliance

› 2.6 Evaluate Continuous Improvement Mechanisms in Anti-Bribery Practices

• 3 Risk Assessment and Due Diligence in Anti-Bribery Initiatives — 6 classes

› 3.1 Identify Key Risks in Anti-Bribery Practices

› 3.2 Conduct Effective Due Diligence to Mitigate Risks

› 3.3 Analyze Risk Assessment Methodologies for Anti-Bribery

› 3.4 Integrate Risk Findings into Management Systems

› 3.5 Develop Action Plans Based on Risk Assessment Results

› 3.6 Evaluate and Improve Due Diligence Processes Continuously

• 4 Training and Awareness Strategies for Continuous Improvement — 6 classes

› 4.1 Identify Key Training Needs for Anti-Bribery Practices

› 4.2 Develop Interactive Training Modules for Employees

› 4.3 Assess the Effectiveness of Current Awareness Strategies

› 4.4 Create Communication Channels for Continuous Feedback

› 4.5 Encourage Leadership Participation in Anti-Bribery Training

› 4.6 Implement a Continuous Improvement Framework for Training

• 5 Monitoring, Review, and Enhancement of Anti-Bribery Practices — 6 classes

› 5.1 Identify Key Performance Indicators for Anti-Bribery Practices

› 5.2 Establish a Monitoring Framework for Compliance

› 5.3 Conduct Regular Reviews of Anti-Bribery Measures

› 5.4 Analyze Data Trends to Identify Improvement Areas

› 5.5 Engage Stakeholders in the Review Process

› 5.6 Develop Action Plans Based on Review Outcomes

• 1 Understanding Ethical Culture and Leadership in Compliance — 6 classes

- › 1.1 Define Ethical Culture in Compliance Frameworks
- › 1.2 Identify Characteristics of Effective Leadership in Compliance
- › 1.3 Analyze the Role of Values in Shaping Organizational Culture
- › 1.4 Evaluate Case Studies of Ethical Leadership in Action
- › 1.5 Develop Strategies for Promoting Ethical Culture in Teams
- › 1.6 Implement Leadership Practices that Encourage Compliance and Integrity

• 2 The Role of Organizational Values in Bribery Prevention — 6 classes

- › 2.1 Define Organizational Values and Their Importance in Bribery Prevention
- › 2.2 Identify Key Features of a Strong Compliance Culture
- › 2.3 Analyze Case Studies on Organizational Values and Bribery Prevention
- › 2.4 Develop Strategies to Embed Values in Leadership Practices
- › 2.5 Create a Framework for Measuring the Impact of Values on Compliance
- › 2.6 Implement Action Plans to Enhance Organizational Values in Preventing Bribery

• 3 Strategies for Effective Leadership in Anti-Bribery Initiatives — 6 classes

- › 3.1 Define Leadership Roles in Anti-Bribery Initiatives
- › 3.2 Identify Key Strategies for Building a Compliance Culture
- › 3.3 Assess the Impact of Leadership on Employee Behavior
- › 3.4 Develop Communication Techniques for Anti-Bribery Messaging
- › 3.5 Design Training Programs to Empower Leaders in Anti-Bribery
- › 3.6 Evaluate the Effectiveness of Leadership in Sustaining Compliance

• 4 Building a Culture of Compliance: Engagement and Accountability — 6 classes

- › 4.1 Define Compliance Culture and Its Importance
- › 4.2 Identify Key Leadership Traits That Foster Compliance
- › 4.3 Engage Employees Through Effective Communication Strategies
- › 4.4 Establish Accountability Mechanisms Within Teams
- › 4.5 Develop Training Programs That Reinforce Compliance Values
- › 4.6 Create an Action Plan to Sustain a Culture of Compliance

• 5 Evaluating and Sustaining Leadership and Cultural Effectiveness — 6 classes

- › 5.1 Assessing Leadership Styles for Cultural Alignment
- › 5.2 Identifying Key Indicators of Cultural Effectiveness
- › 5.3 Developing Strategies for Cultural Improvement
- › 5.4 Measuring Impact of Leadership on Compliance Culture
- › 5.5 Implementing Continuous Feedback Mechanisms
- › 5.6 Creating an Action Plan for Sustaining Cultural Change

• 1 Understanding Anti-Bribery Laws and Standards — 6 classes

- › 1.1 Define Key Anti-Bribery Terms and Concepts
- › 1.2 Explore the Legal Framework of Anti-Bribery in the UK
- › 1.3 Identify Key International Anti-Bribery Standards
- › 1.4 Analyze the Implications of Non-Compliance with Anti-Bribery Laws
- › 1.5 Evaluate the Role of Leadership in Promoting Anti-Bribery Policies
- › 1.6 Develop Action Plans for Integrating Anti-Bribery Standards into Practice

• 2 Establishing an Effective Anti-Bribery Policy — 6 classes

- › 2.1 Define the Purpose and Scope of Anti-Bribery Policy
- › 2.2 Identify Key Stakeholders in Anti-Bribery Initiatives
- › 2.3 Analyze Legal and Regulatory Requirements for Anti-Bribery
- › 2.4 Develop Clear and Measurable Anti-Bribery Objectives
- › 2.5 Implement Training and Awareness Programs for Employees
- › 2.6 Establish Monitoring and Reporting Mechanisms for Compliance

• 3 Risk Assessment and Management in Anti-Bribery — 6 classes

- › 3.1 Identify Key Risks in Anti-Bribery Management
- › 3.2 Analyze the Impact of Bribery Risks on Business Operations
- › 3.3 Develop Risk Assessment Criteria for Anti-Bribery Policies
- › 3.4 Create a Risk Matrix for Effective Management
- › 3.5 Implement Ongoing Monitoring Strategies for Risk Management
- › 3.6 Review and Revise Anti-Bribery Policies Based on Risk Assessment Outcomes

• 4 Training and Awareness Programs for Anti-Bribery Compliance — 6 classes

- › 4.1 Identify Key Concepts in Anti-Bribery Training
- › 4.2 Assessing Organizational Needs for Anti-Bribery Programs
- › 4.3 Developing Engaging Content for Anti-Bribery Awareness
- › 4.4 Implementing Effective Training Delivery Methods
- › 4.5 Evaluating the Impact of Anti-Bribery Training
- › 4.6 Creating a Culture of Compliance Through Training

• 5 Monitoring, Review, and Continuous Improvement of Anti-Bribery Practices — 6 classes

- › 5.1 Assess Current Anti-Bribery Practices
- › 5.2 Identify Key Performance Indicators for Monitoring
- › 5.3 Develop a Review Schedule for Anti-Bribery Policies
- › 5.4 Conduct Stakeholder Feedback Sessions on Practices
- › 5.5 Analyze Audit Results for Continuous Improvement
- › 5.6 Implement Changes Based on Monitoring Outcomes

• 1 Understanding the Role of Monitoring in Anti-Bribery

Management Systems — 6 classes

- › 1.1 Define Monitoring and Its Importance in Anti-Bribery Management Systems
- › 1.2 Identify Key Indicators for Effective Monitoring in Anti-Bribery Practices
- › 1.3 Explore Tools and Techniques for Monitoring Anti-Bribery Compliance
- › 1.4 Analyze Case Studies of Monitoring Failures in Anti-Bribery Systems
- › 1.5 Develop a Monitoring Plan for Your Own Anti-Bribery Framework
- › 1.6 Present Findings and Recommendations from Your Monitoring Plan

• 2 Key Performance Indicators for Anti-Bribery Management — 6 classes

- › 2.1 Define Key Performance Indicators (KPIs) for Anti-Bribery Management
- › 2.2 Identify Relevant Stakeholders for KPI Development
- › 2.3 Design Effective KPIs for Monitoring Anti-Bribery Compliance
- › 2.4 Set Baselines and Targets for Anti-Bribery KPIs
- › 2.5 Implement Data Collection Methods for KPI Evaluation
- › 2.6 Analyze and Report on Anti-Bribery KPI Performance

• 3 Data Collection and Analysis for Performance Evaluation — 6 classes

- › 3.1 Identify Relevant Data Sources for Anti-Bribery Evaluation
- › 3.2 Develop Data Collection Strategies for Performance Monitoring
- › 3.3 Employ Quantitative Methods for Data Analysis in Anti-Bribery Systems
- › 3.4 Utilize Qualitative Approaches to Analyze Performance Data
- › 3.5 Synthesize Data Findings to Evaluate Anti-Bribery Management Effectiveness
- › 3.6 Communicate Performance Evaluation Results to Stakeholders

• 4 Conducting Regular Monitoring Activities and Audits — 6 classes

- › 4.1 Identify Key Indicators for Effective Monitoring
- › 4.2 Develop a Schedule for Regular Monitoring Activities
- › 4.3 Implement Monitoring Procedures and Tools
- › 4.4 Conduct Internal Audits for Compliance Verification
- › 4.5 Analyze Audit Findings to Improve Anti-Bribery Measures
- › 4.6 Report and Communicate Results to Stakeholders

• 5 Reporting and Continuous Improvement in Anti-Bribery Management — 6 classes

- › 5.1 Analyze Key Components of Anti-Bribery Reporting
- › 5.2 Evaluate Performance Metrics for Anti-Bribery Management
- › 5.3 Develop Effective Reporting Frameworks for Compliance
- › 5.4 Implement Continuous Improvement Strategies in Anti-Bribery Practices
- › 5.5 Identify Best Practices in Anti-Bribery Reporting
- › 5.6 Communicate Findings and Recommendations for Enhanced Anti-Bribery Measures

• 1 Understanding Bribery Risks in Organizations — 6 classes

- › 1.1 Identify Common Bribery Risks in Organizational Contexts
- › 1.2 Analyze Legal Frameworks Surrounding Bribery in the UK
- › 1.3 Assess Internal Factors Contributing to Bribery Risks
- › 1.4 Examine External Influences on Bribery Risks in Business
- › 1.5 Evaluate Case Studies of Bribery Incidents in Organizations
- › 1.6 Develop Strategies to Mitigate Bribery Risks in Operations

• 2 Conducting a Comprehensive Risk Assessment — 6 classes

- › 2.1 Identify Key Risk Factors in Anti-Bribery Contexts
- › 2.2 Analyze Internal and External Influences on Bribery Risks
- › 2.3 Evaluate Existing Controls and Their Effectiveness Against Risks
- › 2.4 Prioritize Risks Based on Likelihood and Impact
- › 2.5 Develop Risk Mitigation Strategies for High-Priority Areas
- › 2.6 Create a Comprehensive Risk Assessment Report for Stakeholders

• 3 Developing an Anti-Bribery Risk Management Framework — 6 classes

- › 3.1 Identify Key Components of an Anti-Bribery Framework
- › 3.2 Assessing Internal and External Bribery Risks
- › 3.3 Establishing Risk Tolerance Levels for Bribery
- › 3.4 Developing Effective Anti-Bribery Policies and Procedures
- › 3.5 Implementing Risk Mitigation Strategies and Controls
- › 3.6 Monitoring and Reviewing the Anti-Bribery Risk Framework

• 4 Implementing Controls and Mitigation Strategies — 6 classes

- › 4.1 Identify Key Risks in Bribery Scenarios
- › 4.2 Analyze the Impact of Identified Risks on Operations
- › 4.3 Design Effective Controls to Mitigate Bribery Risks
- › 4.4 Implement Monitoring Strategies for Control Effectiveness
- › 4.5 Evaluate the Success of Mitigation Strategies
- › 4.6 Communicate Anti-Bribery Measures to Stakeholders

• 5 Monitoring, Review, and Continuous Improvement — 6 classes

- › 5.1 Identify Key Performance Indicators for Anti-Bribery Management
- › 5.2 Implement Monitoring Techniques for Risk Assessment
- › 5.3 Conduct Regular Reviews of Anti-Bribery Policies
- › 5.4 Analyze Review Findings to Identify Improvement Areas
- › 5.5 Develop Action Plans for Continuous Improvement
- › 5.6 Engage Stakeholders in the Improvement Process

• 1 Foundations of ISO 37001: Understanding Anti-Bribery Principles

— 6 classes

- › 1.1 Define Anti-Bribery: Key Concepts and Terms
- › 1.2 Explore the Origins and Purpose of ISO 37001
- › 1.3 Identify the Core Principles of Anti-Bribery Management
- › 1.4 Analyze Stakeholder Roles in Anti-Bribery Practices
- › 1.5 Assess Risks: Understanding Bribery Threats in Organizations
- › 1.6 Apply Anti-Bribery Principles: Developing an Action Plan

• 2 Key Requirements of ISO 37001: Framework and Objectives

— 6 classes

- › 2.1 Identify the Core Principles of ISO 37001
- › 2.2 Explore the Framework Structure of ISO 37001
- › 2.3 Analyze Key Objectives of Anti-Bribery Management Systems
- › 2.4 Evaluate the Role of Leadership in ISO 37001 Implementation
- › 2.5 Develop Strategies for Effective Policy Communication
- › 2.6 Apply ISO 37001 Standards to Real-World Scenarios

• 3 Risk Assessment and Due Diligence in Anti-Bribery Management

— 6 classes

- › 3.1 Identify Key Concepts of Risk Assessment in ISO 37001
- › 3.2 Analyze Types of Bribery Risks Relevant to Organizations
- › 3.3 Evaluate the Importance of Due Diligence in Anti-Bribery Strategies
- › 3.4 Implement a Step-by-Step Risk Assessment Process
- › 3.5 Develop Effective Due Diligence Procedures for Third Parties
- › 3.6 Apply Risk Mitigation Strategies Based on Assessment Findings

• 4 Implementation Strategies for ISO 37001: Creating an Anti-Bribery Culture

— 6 classes

- › 4.1 Assess Current Organizational Culture and Identify Bribery Risks
- › 4.2 Develop a Comprehensive Anti-Bribery Policy Framework
- › 4.3 Engage Leadership to Champion Anti-Bribery Initiatives
- › 4.4 Train Employees on Anti-Bribery Principles and Practices
- › 4.5 Establish Reporting Mechanisms for Bribery Concerns
- › 4.6 Monitor, Review, and Improve Anti-Bribery Efforts Continuously

• 5 Monitoring, Review, and Continuous Improvement of Anti-Bribery Systems

— 6 classes

- › 5.1 Identify Key Monitoring Metrics for Anti-Bribery Systems
- › 5.2 Analyze Current Monitoring Practices in Your Organization
- › 5.3 Evaluate the Effectiveness of Anti-Bribery Controls
- › 5.4 Review Reporting Mechanisms for Anti-Bribery Issues
- › 5.5 Implement Continuous Improvement Strategies for Compliance
- › 5.6 Prepare Action Plans Based on Review Findings