

LAPT

LONDON ACADEMY OF PROFESSIONAL TRAINING

CERTIFICATE

ISO 31022 — Management of Legal Risk

ISO Certification Programme



LAPT — London Academy of Professional Training

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Reference SSR-RSK-31022 • ISO Security Safety & Risk

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AT A GLANCE

REFERENCE	SSR-RSK-31022
AWARD	Certificate
ASSESSMENT	430 marks — 258 to pass (60%)
PREREQUISITES	None
VALIDITY	Lifetime
AWARDING BODY	LAPT — London Academy of Professional Training

CURRICULUM

1

Culture of Compliance and Accountability

5 chapters · 30 classes 85 marks

• 1 Understanding Compliance: Foundations and Importance — 6 classes

› 1.1 Define Compliance: Key Concepts and Terminology

› 1.2 Identify the Importance of Compliance in Organisational Culture

› 1.3 Explore Legal Risks and Their Impact on Business Operations

› 1.4 Assess the Role of Leadership in Promoting Compliance

› 1.5 Evaluate Strategies for Building a Culture of Accountability

› 1.6 Apply Compliance Principles to Real-World Scenarios

• 2 Regulatory Frameworks and Compliance Standards — 6 classes

› 2.1 Identify Key Regulatory Frameworks in Legal Risk Management

› 2.2 Analyze the Importance of Compliance Standards in Organizations

› 2.3 Assess the Role of Leadership in Fostering a Compliance Culture

› 2.4 Explore Consequences of Non-Compliance in Various Industries

› 2.5 Develop Strategies for Implementing Compliance Programs

› 2.6 Evaluate Case Studies of Successful Compliance Initiatives

• 3 Developing a Culture of Compliance: Strategies and Best Practices — 6 classes

› 3.1 Assessing Current Compliance Culture Within Your Organization

› 3.2 Identifying Key Players for Promoting Compliance

› 3.3 Developing Effective Training Programs on Compliance

› 3.4 Implementing Communication Strategies to Reinforce Accountability

› 3.5 Measuring the Impact of Compliance Initiatives

› 3.6 Creating an Action Plan for Sustaining a Culture of Compliance

• 4 Assessing Compliance Risks and Accountability Measures — 6 classes

› 4.1 Identify Key Compliance Risks in Your Organisation

› 4.2 Evaluate Accountability Measures for Managing Risks

› 4.3 Develop a Framework for Risk Assessment Procedures

› 4.4 Implement Strategies to Enhance Compliance Culture

› 4.5 Monitor and Review Effectiveness of Accountability Measures

› 4.6 Create an Action Plan for Continuous Improvement in Compliance

• 5 Monitoring, Reporting, and Continuous Improvement in Compliance — 6 classes

› 5.1 Identify Key Performance Indicators for Compliance Monitoring

› 5.2 Develop a Framework for Effective Compliance Reporting

› 5.3 Implement Data Collection Methods for Compliance Metrics

› 5.4 Analyze Compliance Data to Identify Improvement Areas

› 5.5 Create Action Plans Based on Compliance Analysis Findings

› 5.6 Foster a Culture of Continuous Improvement in Compliance Practices

• 1 Understanding Legal Risk in Business Contexts — 6 classes

- › 1.1 Define Legal Risk in Business Contexts
- › 1.2 Identify Sources of Legal Risk
- › 1.3 Analyze the Impact of Legal Risk on Business Operations
- › 1.4 Assess Legal Risk through Case Studies
- › 1.5 Develop Strategies for Mitigating Legal Risk
- › 1.6 Implement a Legal Risk Management Framework

• 2 Frameworks for Legal Impact Assessment — 6 classes

- › 2.1 Identify Key Frameworks for Legal Impact Assessment
- › 2.2 Analyze Components of Legal Risk Frameworks
- › 2.3 Evaluate the Effectiveness of Various Legal Models
- › 2.4 Develop Criteria for Effective Legal Impact Assessment
- › 2.5 Apply Frameworks to Real-World Legal Scenarios
- › 2.6 Review and Reflect on Legal Impact Assessment Strategies

• 3 Legal Risk Identification Techniques — 6 classes

- › 3.1 Define Legal Risk and Its Importance in Business Management
- › 3.2 Identify Common Sources of Legal Risks in Various Industries
- › 3.3 Explore Qualitative Techniques for Legal Risk Identification
- › 3.4 Utilize Quantitative Methods for Measuring Legal Risks
- › 3.5 Analyze Case Studies: Successful Identification of Legal Risks
- › 3.6 Develop a Personal Action Plan for Legal Risk Assessment in Your Organization

• 4 Evaluating the Consequences of Legal Risks — 6 classes

- › 4.1 Identify Legal Risks in Business Operations
- › 4.2 Assess the Potential Impact of Legal Risks
- › 4.3 Explore Legal Risk Scenarios and Outcomes
- › 4.4 Develop Strategies for Mitigating Legal Risks
- › 4.5 Create a Legal Risk Assessment Framework
- › 4.6 Implement and Review Legal Risk Management Practices

• 5 Strategies for Legal Risk Mitigation and Management — 6 classes

- › 5.1 Identify Key Legal Risks in Your Organization
- › 5.2 Assess the Impact of Legal Risks on Operations
- › 5.3 Develop a Risk Mitigation Framework
- › 5.4 Implement Strategies for Legal Risk Management
- › 5.5 Monitor and Review Legal Risk Management Practices
- › 5.6 Communicate Legal Risk Management Strategies to Stakeholders

• 1 Understanding Legal Risk: Concepts and Definitions — 6 classes

- › 1.1 Define and Explore Key Concepts of Legal Risk
- › 1.2 Identify Sources of Legal Risk in Business Operations
- › 1.3 Analyze the Impact of Legal Risk on Business Decisions
- › 1.4 Distinguish Between Legal Risk and Other Types of Risks
- › 1.5 Evaluate Existing Legal Risk Management Frameworks
- › 1.6 Apply Legal Risk Concepts to Real-World Scenarios

• 2 Sources of Legal Risk: Identifying Potential Issues — 6 classes

- › 2.1 Identify Common Sources of Legal Risk in Business Operations
- › 2.2 Analyze Regulatory Risks: Understanding Compliance Requirements
- › 2.3 Recognize Contractual Risk: Key Clauses to Monitor
- › 2.4 Evaluate External Factors: Economic and Social Influences on Legal Risk
- › 2.5 Discuss the Role of Organizational Culture in Legal Risk Management
- › 2.6 Develop Strategies to Mitigate Identified Legal Risks

• 3 Tools and Techniques for Legal Risk Analysis — 6 classes

- › 3.1 Identify Key Legal Risk Factors in Your Organization
- › 3.2 Analyze Legal Risk Scenarios Using SWOT Framework
- › 3.3 Evaluate Legal Risk Impact with Likelihood Assessment Tools
- › 3.4 Utilize Risk Mapping Techniques for Visualizing Legal Exposure
- › 3.5 Apply Quantitative Methods for Legal Risk Analysis
- › 3.6 Develop an Action Plan for Mitigating Identified Legal Risks

• 4 Risk Assessment Methodologies in Legal Contexts — 6 classes

- › 4.1 Define Key Legal Risk Concepts in Risk Assessment
- › 4.2 Identify Legal Risks Using Qualitative Assessment Techniques
- › 4.3 Utilize Quantitative Methods for Legal Risk Evaluation
- › 4.4 Analyze Case Studies to Illustrate Legal Risk Scenarios
- › 4.5 Develop a Risk Assessment Matrix for Legal Contexts
- › 4.6 Formulate Strategies to Mitigate Identified Legal Risks

• 5 Implementing Legal Risk Mitigation Strategies — 6 classes

- › 5.1 Identify Key Legal Risks in Organizational Contexts
- › 5.2 Analyze Legal Risk Scenarios Using Case Studies
- › 5.3 Assess the Impact of Legal Risks on Business Objectives
- › 5.4 Develop a Framework for Legal Risk Mitigation Strategies
- › 5.5 Create Action Plans for Implementing Mitigation Strategies
- › 5.6 Evaluate the Effectiveness of Legal Risk Mitigation Efforts

• 1 Understanding Legal Risk: Definitions and Frameworks — 6 classes

- › 1.1 Define Legal Risk: Core Concepts and Terminology
- › 1.2 Explore Legal Risk Categories: Identifying Different Types
- › 1.3 Analyze the Legal Risk Framework: Key Components Explained
- › 1.4 Assess the Impact of Legal Risk: Case Studies and Examples
- › 1.5 Develop Proactive Strategies for Managing Legal Risk
- › 1.6 Implement Best Practices for Legal Risk Management in Organizations

• 2 Proactive Strategies for Legal Risk Assessment — 6 classes

- › 2.1 Identify Key Legal Risks in Your Organization
- › 2.2 Analyze the Impact of Legal Risks on Business Operations
- › 2.3 Develop Proactive Risk Mitigation Strategies
- › 2.4 Establish a Legal Risk Assessment Framework
- › 2.5 Implement Continuous Monitoring for Legal Risks
- › 2.6 Communicate Legal Risk Management Practices to Stakeholders

• 3 Implementing Legal Risk Mitigation Measures — 6 classes

- › 3.1 Identify Legal Risks in Business Operations
- › 3.2 Assess the Impact of Legal Risks on Organizational Goals
- › 3.3 Develop Legal Risk Mitigation Strategies
- › 3.4 Implement Preventative Legal Risk Practices
- › 3.5 Monitor and Review Legal Risk Mitigation Measures
- › 3.6 Communicate Legal Risk Policies to Stakeholders

• 4 Monitoring and Reviewing Legal Risk Management Practices — 6 classes

- › 4.1 Identify Key Indicators for Legal Risk Monitoring
- › 4.2 Establish a Regular Review Process for Legal Risks
- › 4.3 Utilize Technology for Effective Legal Risk Tracking
- › 4.4 Analyze Case Studies of Legal Risk Management Failures
- › 4.5 Develop Action Plans Based on Review Findings
- › 4.6 Communicate Results and Improvements to Stakeholders

• 5 Integrating Legal Risk Management into Organizational Culture — 6 classes

- › 5.1 Assess Current Organizational Culture for Legal Risk Awareness
- › 5.2 Identify Key Stakeholders in Legal Risk Management
- › 5.3 Develop Strategies for Integrating Legal Risk into Decision-Making
- › 5.4 Create Training Programs to Promote Legal Risk Understanding
- › 5.5 Implement Tools for Continuous Legal Risk Monitoring
- › 5.6 Evaluate the Effectiveness of Legal Risk Culture Integration

• 1 Understanding Legal Risk in ISO 31022 Frameworks — 6 classes

- › 1.1 Identify Key Components of Legal Risk in ISO 31022
- › 1.2 Analyze the Impact of Legal Risk on Organizational Compliance
- › 1.3 Assess the Relationship Between Legal Risk and Other Risk Types
- › 1.4 Evaluate Strategies for Mitigating Legal Risk in Business Operations
- › 1.5 Develop a Legal Risk Assessment Matrix for Your Organisation
- › 1.6 Implement Monitoring Practices for Continuous Legal Risk Management

• 2 Developing Risk Assessment Methodologies for Legal Risks — 6 classes

- › 2.1 Identify Key Legal Risks in Organisational Context
- › 2.2 Analyze the Impact of Legal Risks on Business Operations
- › 2.3 Evaluate Existing Risk Assessment Frameworks for Compliance
- › 2.4 Develop Tailored Legal Risk Assessment Methodologies
- › 2.5 Implement a Continuous Monitoring Strategy for Legal Risks
- › 2.6 Review and Adjust Legal Risk Assessments Based on Feedback

• 3 Implementing Compliance Strategies Aligned with ISO Standards — 6 classes

- › 3.1 Identify Key ISO Standards Relevant to Compliance Strategies
- › 3.2 Assess Organizational Risk Tolerance in Relation to ISO Compliance
- › 3.3 Develop Compliance Objectives Aligned with ISO Requirements
- › 3.4 Design Effective Compliance Training Programs for Stakeholders
- › 3.5 Implement Monitoring Mechanisms for ISO Compliance
- › 3.6 Evaluate and Adjust Compliance Strategies Based on Feedback

• 4 Monitoring and Reviewing Legal Risk Management Processes — 6 classes

- › 4.1 Identify Key Components of Legal Risk Management Processes
- › 4.2 Assess Effectiveness of Current Monitoring Tools for Legal Risks
- › 4.3 Analyze Case Studies of Legal Risk Management Successes and Failures
- › 4.4 Develop Strategies for Continuous Improvement of Legal Risk Processes
- › 4.5 Implement Compliance Checklists for Legal Risk Management Review
- › 4.6 Evaluate Outcomes and Adjust Legal Risk Management Frameworks

• 5 Integrating Legal Risk Management into Organisational Culture — 6 classes

- › 5.1 Define and Understand Legal Risk within Organisational Context
- › 5.2 Identify Key Components of a Legal Risk Management Framework
- › 5.3 Develop Compliance Strategies to Mitigate Legal Risks
- › 5.4 Assess the Current Organisational Culture towards Legal Risks
- › 5.5 Create an Action Plan for Enhancing Legal Risk Awareness
- › 5.6 Implement and Evaluate Legal Risk Management Practices in Teams

• 1 Understanding Legal Risk in Team Leadership — 6 classes

- › 1.1 Define Legal Risk in the Context of Team Leadership
- › 1.2 Identify Common Sources of Legal Risk in Teams
- › 1.3 Analyze the Impact of Legal Risk on Team Performance
- › 1.4 Explore Legal Frameworks Relevant to Team Operations
- › 1.5 Develop Strategies for Mitigating Legal Risks in Teams
- › 1.6 Implement Effective Communication Practices to Manage Legal Risk

• 2 Building Effective Communication Strategies for Legal Risk Management — 6 classes

- › 2.1 Assess Current Communication Practices in Legal Risk Management
- › 2.2 Identify Key Stakeholders in Legal Risk Communication
- › 2.3 Develop Clear Messaging Framework for Legal Risks
- › 2.4 Implement Active Listening Techniques in Team Communication
- › 2.5 Use Visual Aids to Enhance Understanding of Legal Risks
- › 2.6 Evaluate and Adapt Communication Strategies for Continuous Improvement

• 3 Implementing Risk Assessment Frameworks in Team Settings — 6 classes

- › 3.1 Define Risk Assessment Frameworks in Team Contexts
- › 3.2 Identify Key Components of Effective Risk Assessment
- › 3.3 Develop Collaborative Tools for Team Risk Identification
- › 3.4 Analyze Real-World Scenarios to Practice Risk Assessment
- › 3.5 Create an Action Plan for Implementing Risk Assessment
- › 3.6 Evaluate Team Performance in Risk Management Strategies

• 4 Cultivating a Risk-Aware Culture in Teams — 6 classes

- › 4.1 Define Risk Awareness in Team Dynamics
- › 4.2 Identify Key Indicators of a Risk-Aware Culture
- › 4.3 Assess Current Practices for Risk Management in Teams
- › 4.4 Develop Strategies to Enhance Risk Communication
- › 4.5 Implement Daily Risk Awareness Practices within Teams
- › 4.6 Evaluate the Impact of a Risk-Aware Culture on Team Performance

• 5 Leadership Techniques for Navigating Legal Challenges in Teams — 6 classes

- › 5.1 Analyze the Importance of Leadership in Legal Risk Management
- › 5.2 Identify Key Legal Challenges Faced by Teams
- › 5.3 Develop Communication Strategies for Legal Risk Awareness
- › 5.4 Implement Collaborative Decision-Making in Legal Situations
- › 5.5 Evaluate Case Studies on Leadership Responses to Legal Risks
- › 5.6 Create an Action Plan for Leading Teams Through Legal Challenges